

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Baker et al. Docket No: 39780-2830P1C8
Serial No: 10/006,041 Group Art Unit: 1647
Filed: December 06, 2001 Examiner: Rachel K. Hunnicutt
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

DECLARATION OF NAPOLEONE FERRARA, Ph.D.,
AUDREY GODDARD, Ph.D., PAUL J. GODOWSKI, Ph.D.,
AUSTIN GURNEY, Ph.D., JAMES PAN, Ph.D., COLIN K. WATANABE and
WILLIAM I. WOOD, Ph.D. UNDER 37 CFR 1.131

We, Napoleone Ferrara, Ph.D., Audrey Goddard, Ph.D., Paul J. Godowski, Ph.D., Austin Gurney, Ph.D., James Pan, Ph.D., Colin K. Watanabe and William I. Wood, Ph.D. declare and say as follows:

1. We are the inventors of the above-identified application.
2. We have read and understood the claims pending in this application, and are aware that the claims have been rejected as anticipated by U.S. Patent No. 6,525,174 (Young *et al.*, issue date February 25, 2003 and effective filing date June 4, 1998) and U.S. Patent Publication No. 2003/0096951 (Jacobs *et al.*, publication date May 22, 2003 and effective filing date August 14, 1998).
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4. At the time the PRO1244 polypeptide was cloned and sequenced, one of the inventors, Austin Gurney, Ph.D., was responsible for overseeing the cloning of cDNAs which

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James Pan, Ph.D.

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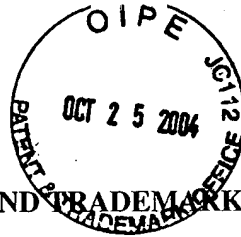
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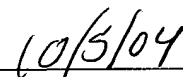
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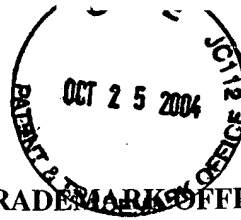
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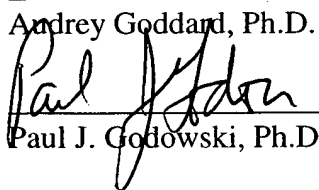
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Andrey Goddard, Ph.D.

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10/05/01

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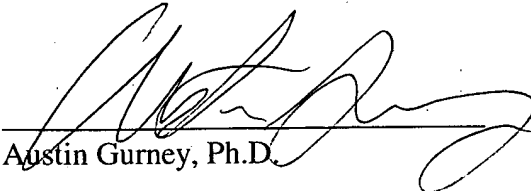
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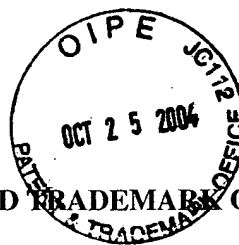
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5. At the time the PRO1244 polypeptide was cloned and sequenced, one of the inventors, Audrey Goddard, Ph.D., was, and still is, responsible for overseeing the sequencing of novel polypeptides, including the PRO1244 polypeptide (SEQ ID NO:130) claimed in the above-identified application.
6. At the time the PRO1244 polypeptide was cloned and sequenced, one of the inventors, William I. Wood, was, and still is, responsible for overseeing the homology searches for the novel polypeptides, including that for the PRO1244 polypeptide (SEQ ID NO:130) encoded by the cDNA claimed in the above-identified application.
7. A cDNA clone, referred to as DNA64883-1526 in the above-identified application, was identified as encoding the PRO1244 polypeptide.
8. The full length of the cDNA clone is shown in Figure 73 of the above-identified application. The full-length cDNA sequence has 2213 nucleotide residues. The full length of the PRO1244 peptide encoded by DNA64883-1526 is shown in Figure 74 of the above-identified application. The full-length PRO1244 polypeptide has 335 amino acid residues.
9. Copies of the pages from the GSeqEdit database which report the cloning and sequencing data for the PRO1244 polypeptide sequence and its encoding nucleic acid sequence are attached to this declaration (with the dates redacted) as Exhibit A.
10. The GSeqEdit report shows the full-length nucleic acid sequence for DNA-64883-1526 (identified as "DNA-64883") and the full-length PRO1244 polypeptide encoded by DNA 64883. Both the DNA-64883 and the PRO1244 polypeptide sequences were obtained prior to June 4, 1998.
11. The DNA-64883 sequence shown in the GSeqEdit report is identical to that of SEQ ID NO: 129 disclosed in the above-identified application.
12. The beginning of the cDNA sequence corresponding to SEQ ID NO: 129 in the above-identified application is shown on page 1 of the GSeqEdit database report and

the location of the first nucleotide is marked with "insert starts here" and an arrow. The location of the last nucleotide corresponding to SEQ ID NO: 129 is shown on page 11 and is marked with an arrow.

13. The amino acid sequence shown in the GSeqEdit report is identical to that of SEQ ID NO: 130 disclosed in the above-identified application.
14. The first 26 amino acid residues of the PRO1244 polypeptide (SEQ ID NO:130) encoded by the cDNA (DNA-64883) are also shown on page 1 of the GSeqEdit report and the remaining 309 residues appear on pages 2-6 of the report.
15. Copies of the pages from the GenenGenes database which report the homology data for the PRO1244 polypeptide sequence are attached to this declaration (with the dates and legal status redacted) as Exhibit B.
16. All activities listed under paragraphs 4-15 were completed prior to June 4, 1998. (See Exhibits A and B).
17. We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information or belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Napoleone Ferrara, Ph.D.

Date

Audrey Goddard, Ph.D.

Date

Paul J. Godowski, Ph.D.

Date

James Pan, Ph.D.

Date

Austin Gurney, Ph.D.

Colin K. Watanabe
Colin K. Watanabe

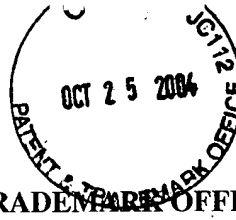
Date

Oct 8, 2004
Date

William I. Wood, Ph.D.

Date

SV 2062079 v1
10/1/04 2:03 PM (39780.2830)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Baker et al. Docket No: 39780-2830P1C8
Serial No: 10/006,041 Group Art Unit: 1647
Filed: December 06, 2001 Examiner: Rachel K. Hunnicutt
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

DECLARATION OF NAPOLEONE FERRARA, Ph.D.,
AUDREY GODDARD, Ph.D., PAUL J. GODOWSKI, Ph.D.,
AUSTIN GURNEY, Ph.D., JAMES PAN, Ph.D., COLIN K. WATANABE and
WILLIAM I. WOOD, Ph.D. UNDER 37 CFR 1.131

We, Napoleone Ferrara, Ph.D., Audrey Goddard, Ph.D., Paul J. Godowski, Ph.D., Austin Gurney, Ph.D., James Pan, Ph.D., Colin K. Watanabe and William I. Wood, Ph.D. declare and say as follows:

1. We are the inventors of the above-identified application.
2. We have read and understood the claims pending in this application, and are aware that the claims have been rejected as anticipated by U.S. Patent No. 6,525,174 (Young *et al.*, issue date February 25, 2003 and effective filing date June 4, 1998) and U.S. Patent Publication No. 2003/0096951 (Jacobs *et al.*, publication date May 22, 2003 and effective filing date August 14, 1998).
3. The polypeptide designated as PRO1244 (SEQ ID NO:130) claimed in the above-identified application in the United States was sequenced, cloned and homology to implantation-associated protein identified prior to June 4, 1998.
4. At the time the PRO1244 polypeptide was cloned and sequenced, one of the inventors, Austin Gurney, Ph.D., was responsible for overseeing the cloning of cDNAs which

encoded novel polypeptides, including the cDNA that encoded PRO1244 polypeptide (SEQ ID NO:130) claimed in the above-identified application.

5. At the time the PRO1244 polypeptide was cloned and sequenced, one of the inventors, Audrey Goddard, Ph.D., was, and still is, responsible for overseeing the sequencing of novel polypeptides, including the PRO1244 polypeptide (SEQ ID NO:130) claimed in the above-identified application.
6. At the time the PRO1244 polypeptide was cloned and sequenced, one of the inventors, William I. Wood, was, and still is, responsible for overseeing the homology searches for the novel polypeptides, including that for the PRO1244 polypeptide (SEQ ID NO:130) encoded by the cDNA claimed in the above-identified application.
7. A cDNA clone, referred to as DNA64883-1526 in the above-identified application, was identified as encoding the PRO1244 polypeptide.
8. The full length of the cDNA clone is shown in Figure 73 of the above-identified application. The full-length cDNA sequence has 2213 nucleotide residues. The full length of the PRO1244 peptide encoded by DNA64883-1526 is shown in Figure 74 of the above-identified application. The full-length PRO1244 polypeptide has 335 amino acid residues.
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Napoleone Ferrara, Ph.D.

Date

Audrey Goddard, Ph.D.

Date

Paul J. Godowski, Ph.D.

Date

James Pan, Ph.D.

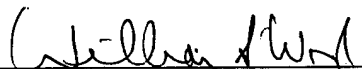
Date

Austin Gurney, Ph.D.

Date

Colin K. Watanabe

Date


William I. Wood, Ph.D.

10/5/04
Date

SV 2062079 v1
10/1/04 2:03 PM (39780.2830)

> [REDACTED]
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 >510 Sites [All Sites]
 > [REDACTED] DNA64883 wlv GSeqEdit
 > [REDACTED] DNA64883 zemin GSeqEdit
 > [REDACTED] DNA64883 goddarda GSeqEdit
 > [REDACTED] DNA64883 sheldens GSeqEdit
 >HBN64883.seq, sequenced at ABI/ACGT by Peter Ma and Eilson Chen
 >human ortholog of implantation-associated protein - Rattus

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--	--

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	alwNI(dcm-)		pvuII
	alw26I/bsmAI	bsaXI	mspAII/nspBII
		hpy188I	
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	GTCGAGACG GGTTCCTTC TTCTCTACC ACATAGACT TTCCAATCA GTCAGTACC TTACCTGATT GTTCTGGA CATTAATCTT ACTTACCTCT		
27	A S A Q R K K E M V L S E K V S Q L M E W T N K R P V I R M N G D		
		tspRI	bst4CI/hpyCH4III
		htsI	ahdI/eam1105I
	hpy99I	nlaiII	hpyCH4V al
		tsp509I	
201	CAAGTTCGT CGCCTTGTA AAGCCACC GAGAATTAC TCCGTATCG TCATGTTAC TCCTCTCAA CTGCATAGAC AGTGTGCGT TTGCAAGCAA		
	GTCAAGGCA GCGAACACT TTCGGGGTGG CTCTTTAATG AGCCAATAGC AGTACAAGTG ACGAGAGGTT GACGTAICTG TCACACAGCA AACGTTGCTT		
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 mvaI
 ecoRII[dcM-]
 dsaV[dcM-]
 bstNI
 bssKI[dcM-]
 apyI[dcM+]
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 mboI/ndeII[dam-]
 dpnII[dam-]
 dpnI[dam+]
 alwI[dam-]
 bstYI/xhoII
 alwNI[dcM-]
 alw26I/bsmAI
 tsp509I[M.ecoRI-]
 ecoRI pfIMI[dcM-]
 apoI bslI[dcM-]
 mboII hpy188III
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 apyI[dcM+]
 bssKI[dcM-]
 bstNI baeI
 tspRI
 hpyCH4V
 hpy18
 nlaIII
 styI
 ncoI
 dsaI
 btgI/bstDSI
 bsaJI

tsp5091[M.ecori-]

ecori

hpyCH4V

sfani

apoi

econI

hpy188I

nlaiII aluI

bsII

bsII

hphI

ndel

maeiII

acII

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127 V F Q M L N M N S A P T F I N F P A K G K P K R G D T Y E L Q V R

ddeI[M.aluI-]

bspcNI

mspi

saU3AI

celII/espi

hpaII

mboI/ndeII[dam-]

b1pI/bpu1102I scrFI[M.hpaII-]

aluI

ncII

dpnII[dam-]

pvuII

dsav

dpnI[dam+]

mspAII/nsbII

bskI

alwI[dam-]

spsI

tsp509I

avaII

bsII

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160 G F S A E Q I A R W I A D R T D V N I R V I R P P N Y A G P L M L

taqI

sfuI

tseI

bstBI

fokI

fnu4HI/bscFI

bslCI

tsp509I

tru9I

bscFI

bbvI

baeI

mboII mboII

apoi

mseI

bsrI

mwoI hpyCH4V

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CCTAACGAAA ACCGACATA ACCACTGAA CACATAGAG CTCTTCAAT ATACCTTAA GAGAAATTA TTTGACCTAC CCGAAACGT CGAAACGAAA
193 G L L L A V I G G L V Y L R R S N M E F L F N K T G W A F A A L C F

nlaIII nlaIII
 pcli styI
 nsphi ncoI
 nspl dsal
 bslI btgl/bs
 tffi aflIII
 hinfI bsmFI tsp509I bsaJI
 ndel cac8I ahdI/eam1105I nlaIV mnlI tsei
 avall .sau36I bsaJI nspI fnu4HI/bsofI
 701 TTGTGCTTGC TATGACATCT GGTCAAATGT GGAACCATAT AAGAGACCA CCATAAGCCC ATAAGAATCC CCACACGGGA CATGTGAATT ATATCCAATGG
 AACACGAACG ATACTGTAGA CCAGTTTACA CCTTGCTATA TTCTCCTGCT GGTATACGGG TATTTCTTAGG GGTGTGCCCT GTACACTTAA TATAGGTACC
 227 V L A M T S G Q M W N H I R G P P Y A H K N P H T G H V N Y I H G

ddeI tsei
 eco8II fnu4HI/bsofI
 bsu36I/mscII/sauI bsvI hpyI
 tru9I bsrI aluI mboII mscI maelII aluI mnlI
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 TTCGTACGTT CGGCTCAAC ATGACTTTG TGTGTACAA GAAGACAAT TACCACCTCA ATGGAATCCT TACCACGAAA ATACACTTCG ACGATGAGA
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 dpnII[dam-]
 sfanI dpnI[dam+]
 foki bstYI/xhoII
 eco57I bstFI bglII nl
 mboII 901 GACATGAGATA TTGGAAGCG AAAGATAATG TGTGTGCTG GATGTGACT TGTGTATTA TTCTTACGTT GAATGCTCTC TATTTTAGA TCTAATATC
 CTGTACCTAT AACCTTCCG TTCTTATTAC ACACACCGAC CATTAACCTGA ACAACATAAT AAGAGTCAA CCTACGAGAG ATAAAATCT AGATTTATAG
 293 D M D I G K R K I M C V A G I G L V V L F F S W M L S I F R S K Y H

bsmFI
sau96I

nlaiV

avaII

tru9I
ppuMI

aluI hpy188I mseI eco0109I/draII

bsrI
rsal

bpmI/gsuI[dcM-]

bsrI csp6I

tspRI scaI tsp509I

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327 G Y P Y S F L M S O

tru9I

mseI tru9I

ahaiII/draI tru9I

swaI mseI mseI mboII

tru9I
mseI

bsmI
mboII hpyCH4V

mnlI

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mnlI

ddeI

bspcNI

mnlI hpy188I

tsp509I mseI earI/ksp632I

mnlI tru9I mboII tspRI

bsrI

tsp509I

tru9I

tru9I rsal tsp509I

mseI csp6I mseI

1201 AATCCTCTGT CAAAATCTGA GGTATTGGA AATAATATATC CTCTTAACCT TCTCTCCCA GTGAACCTTA TGAACATTT AATTAGTAC AATTAGTAT
TTAGGAGACA GTTTAGACT CCAATAACTT TTATTAATAG GAGAAATTGA AGAGAAGGT CACTTGAAT ACCTGTATA TTAATCATG TTAATTGATA

tru9I

mseI

hpaI

psII tsp509I

aluI

hincII/hindII hpy188I

bsII

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scrFI[dcn-]

pspGI

mvaI

ecorII[dcn-]

dsaV[dcn-]

bstNI

bssKI[dcn-]

apyI[dcn+]

sexAI

tsp509I[M.ecorI-]

xmnI

ecorI

asp700

apoI

ddeI[M.aluI-]

bstFSI

alul mslI

fokI

hpy188III

ndeI

maeIII

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CTACCCCTT CATCAGAGC TGGTCACAA GGGTGTATAC GGACAATGC TATTGATGTA ATCCTTAGT AAGATCGAA GAAGTAGAA CACACCTACA

taiI

hgiAI/aspHI

bsp1286

hpy188I

mboII

bpuAI

bsiHKAI rmaI ddeI

hpy188I maeII/hpyCH4IV

eco57I aflIII maeI bspc

tsp509I nlaIII bbsI

sfanI

1501 GTATACCTTA CGCATCTTC CTTTGAGTA GAGAAATTAT GTGTGCATG TGGTCTTCTG AAATGGAAC ACCATTCTTC AGAGCACACG TCTAGCCCTC
CATATGAAT GCGTAGAAG GAAACTCAT CTCTTAATA CACACAGTAC ACCAGAGAC TTTTACCTTG TGGTAAGAAG TCTCGTGTGC AGATCGGAG

tth1111/aspi

plei

pf1f1

ml1

h1n1

bsm1 bsm1

bser1

ml1

bser1

bpm1/gsu1[dcn-]

h1n1 dde1

hha1/efo1 bspcni

bst4cl/hpych4111 ml1 hpych4v

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tru91

mse1

hpa1

ps1

sm1

1701 CAGATTATA ATTCTGCTT GAGTATGTG TTAAGTACT TGTATTAGA AGATTTCAG ATTCAATCCA TCCTCTTAGT TTTCTTTTAA GGTGACCAT

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xm1

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h1n1

dde1

dde

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alu1

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mae111

hae111/pal1

nl111

tspr1

tspr451

mae111

tspr5091

mae111

rsal

cspr61

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haeIII/palI
msci/balI[dcM-]
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cfII
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dsav[dcM-] maeIII
bstNI hinfI
bskI[dcM-] tspRI
pleI bslI[dcM-] hhaI/cfoI
mlyI bsaJI apyI[dcM+]
hinfI apyI[dcM+] btsI
ddel
bspcNI
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msci/bali[dcn-]

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pspci

mval

ecorll[dcn-]

dsav[dcn-]

bstnl

bsmAl bsskl[dcn-]

taql foki cfri nlaIII

bsmAl

hpy188III bsaI bsrfsI haellI/palI

esp3I

mlI hpy188III apyl[dcn+] hphI

bsmBI

tsp509I

alul

nlaIV

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scrfl[dcn-]

pspci

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apyl[dcn+]

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```

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haeIII/palI
mcrI
eagI/xmaII/ecI XI
eaeI
cfrI
bsrI rmaI
notI maeI
fnu4HI/bsoFI bfaI
acI acI speI
bsmAI
2201 GCGAGACTCC ATCTCAAAA AAAAAAAAAA AAAAAAAAAA AAAAAAAAAAGG CGGCCGCCGA CTAGTGAGC
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> length: 2269

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accI (GTMKAC) : 1501
acI (CCGC) : 39 498 2250 2254
afI (ACRYGT) : 780 1586
ahaiI (TTTAA) : 1150
ahdi (GACNNNNNGTC) : 278 714
alui (AGCT) : 152 300 429 510 690 822 888 1015 1345 1476 1816 2070 2102
alw26I (CAGNNNCTG) : 101 316
alwI (GATCNNNN) : 318 530
alwNI (CAGNNNCTG) : 101 316
apoi (RAATY) : 3 310 423 655 1464
apyI (CCWGG) : 321 332 1422 1934 1939 2023 2189
asp700 (GAANNNTTC) : 1464 1749
asphi (GWCWC) : 1582

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SEQUENCE VIEWER	DMG	SRC	RNA	IS		FLS	OLI
ASSAY VIEWER	PRO	DOM	EXP	PUR		LOT	ASY
SELECT <input type="text"/>					<input type="button" value="GO"/>		

DNA64883DNA Info [Project DNA56011](#)Is Primary dna ☒Source Info [628 FLS 2333](#) [LIB309](#) [RNA217](#) [SRC685](#) Human blank IncyteGene Info [PRO1244 Human IAG2 UNQ628](#)**Gene Annotation**Genome Mapping [Run Geode](#)

Affy [HGU133A 221553_at](#)
[HGU133B 224899_s_at](#)
[HGU133P 221553_at, 224899_s_at](#)
[HGU95B 43481_at, 45230_at](#)
[HGU95E 90925_at](#)
[Hu35KB RC N48787_at](#)
[Hu35KC RC_AA133886_at, RC_AA157267_at](#)
[HuGenen1 AF130104_at, P_A37058_at](#)
[MGU74B 106968_at, 110460_at](#)
[MGU74C 140441_at](#)
[MOE430A 1419459_a_at](#)
[MOE430P 1419459_a_at](#)

Agilent [H1Av2 A_23_P148273](#)
[H1A A_23_P148273](#)
[H1Av2 A_23_P148273](#)
[M1A A_51_P206624](#)
[WHG A_23_P148273, A_24_P105164, A_24_P333802, A_24_P485219](#)

FANTOM [Mouse:2410001C15, 2610529C04, 2810435A10, 9130015A07](#)GenBank [Human:AF130104, AK027632, AK075394, AL136636, AY358691, BC060842, BC063037](#)
[Mouse:AK010320, AK012185, AK013243, AK018623, BC003881](#)GeneHub [Human:GENE30707](#)
[Mouse:MGENE40317](#)INCYFL [Human:959272.FL1_0](#)Incyte [Human:1397153.5, 1397153.6](#)LocusLink [Human:84061](#)
[Mouse:67075](#)MGI [Mouse:1914325](#)Proteome [Human:NP_115497.3](#)
[Mouse:NP_080228.2](#)

UniGene Human:[Hs.323562](#)
Mouse:[Mm.275943](#)

DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]

DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]
DNA64883 [REDACTED]

StatusScientist Alane Gray

Notebook 28923

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Storage Location

Box

Slot

Inventory Status

Others ☐ Sent to pLASMID Archive
☐ Clone Verified

Date Entered [REDACTED]

Date Updated [REDACTED]

Date Completed

Date Canceled

Cancel Reason

Clone Status not reviewed

Sequence Status

Project Member

No Project member generated

FLS FLSDNA

No FLS, FLSDNA generated

Exp Construct

EXP	Lab Name	Construct DNA	System
<u>EXP2003</u>	PH.64883.his.163	<u>DNA75635</u>	Baculovirus
<u>EXP1945</u>	sst.64883.H8	<u>DNA79249</u>	Mammalian Stable
<u>EXP2354</u>		<u>DNA79249</u>	Mammalian Stable
<u>EXP2342</u>	64883.644 IgG	<u>DNA83540</u>	Baculovirus

ABI

No ABI run generated

MA Plate

MA Plate	Well Num	Well Location	Date	Typ Plate
PLT72	61	F1	[REDACTED]	Storage

Print Run

No Print run generated

XPT

No XPT generated

[ASY](#) | [DNA](#) | [DOM](#) | [EXP](#) | [FAM](#) | [FLS](#) | [LIB](#) | [LOT](#) | [MAP](#) | [OLI](#) | [PRB](#) | [PRO](#) | [PUR](#) | [RNA](#) | [SRC](#) | [UNQ](#) | [XPT](#) | [YST](#)
[Assay Viewer](#) | [Sequence Viewer](#) | [Gene Viewer](#) | [GenenGenes](#) | [SAGE](#)

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